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FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE 10/083,502 02/27/2002 Takayuki Tamura XA-9639 6495 181 09/21/2004 **EXAMINER** 7590 MILES & STOCKBRIDGE PC TABONE JR, JOHN J 1751 PINNACLE DRIVE ART UNIT PAPER NUMBER SUITE 500 MCLEAN, VA 22102-3833 2133

DATE MAILED: 09/21/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

,	Application No.	Applicant(s)
Office Action Summan	10/083,502	TAMURA ET AL.
Office Action Summary	Examiner	Art Unit
	John J. Tabone, Jr.	2133
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply		
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).		
Status Status		
1) Responsive to communication(s) filed on 29 M	arch_2002.	
2a) This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.	
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is		
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.		
Disposition of Claims		
4) Claim(s) 1-15 is/are pending in the application.		
4a) Of the above claim(s) is/are withdrawn from consideration.		
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>1-15</u> is/are rejected.		
7) Claim(s) is/are objected to.		•
8) Claim(s) are subject to restriction and/or	election requirement.	
Application Papers		
9) The specification is objected to by the Examiner.		
10)⊠ The drawing(s) filed on <u>2/27/02</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.		
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).		
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).		
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.		
Priority under 35 U.S.C. § 119		
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>		
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Attachment(s)  1) Notice of References Cited (PTO-892) & 4) Interview Summary (PTO-413)		
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152)  Paper No(s)/Mail Date  6) Other:		

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#### **DETAILED ACTION**

1. Claims 1-15 have been examined.

# Claim Objections

2. Claim 8 objected to because of the following informalities: Line 16 recites the limitation "an storage area". This should be corrected to recite "a storage area". Appropriate correction is required.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-15 are rejected under 35 U.S.C. 102(e) as being anticipated by Estakhri et al. (US-6202138), hereinafter Estakhri.

#### Claims 1 and 2:

Estakhri teaches <u>Memory card 502</u> includes: a non-volatile memory bank 506 including a <u>plurality of non-volatile memory units 508</u> for storing sectors of information organized in blocks; a <u>memory controller 510</u> coupled to the memory bank via a

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memory bus 512, and coupled to the host 504 via a host bus 514. Estakhri also teaches controller 510 is shown to include: a host interface 610 connected to the host 504 via host bus 514 for transmitting address, data, and control signals (external access instruction) between the controller and the host. Estakhri further teaches memory bus 512 is used to transmit address, data, and control signals between the controller 510 and memory bank 506. (Col. 6, lines 9-14, 28-61). Estakhri even further teaches that sector-organized information (alternation control), including user data and overhead information, is received at host interface 610 from host 504 via host bus 514 and provided to the data buffer 614 for temporary storage. (Col. 7, lines 28-30). Estakhri discloses that the even and odd sector move flag locations 760, 762 store values indicating whether the corresponding even and odd sectors stored in the non-volatile memory sector location have been moved to another location within the non-volatile memory bank 506 (FIG. 6). (Col. 9, lines 53-67). Estakhri discloses "said memory controller allocates said first and second non-volatile memories to storage area of even and odd data of sector data", per claim 2, in column 8, lines 26-55. Estakhri also discloses the controller 510 (FIG. 6) accesses an even sector of information stored collectively in the first and second flash memory chips by simultaneously accessing first and second even sector fields 734, 742 (operate for parallel access) of corresponding row-portions of the first and second flash memory chips via the first and second split buses 680, 684 (FIG. 6), respectively. (Col. 8, lines 66, 67, col. 9, lines 1-5). Estakhri also discloses controller 510 (FIG. 6) monitors the status of each block location 727 of the memory bank using block level flags including a used/free block flag and a defect

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block flag stored in a used flag location 754 and a defect flag location 756 respectively of the flag field 752. Estakhri further discloses the following steps in Fig. 12a to teach "said memory controller makes the storage area alternative in unit of the non-volatile memory in which an access error occurs in said alternative control": At step 1600, the block that was being unsuccessfully programmed is marked as "defective" by setting the "defect" flags 756 (in FIG. 7). At step 1602, the space manager within the controller is commanded to find a free block. At step 1604, the information that would have been programmed at steps 1234 and 1222 (in FIG. 12) i.e. the block marked "defective" is programmed into corresponding sector locations within the free block found in step 1602. At step 1606, the block marked "defective" is checked for the presence of any sector information that was previously written thereto successfully. If any such sectors exist, at step 1608, these previously-programmed sectors are moved to the free block, as is additional block information in the process of FIG. 12. (Col. 9, lines 18-32, col. 17, lines 64-67, col. 18, lines 1-10).

# Claim 3:

Estakhri teaches Memory bus 512 includes a flash bus 675 connected to a port 676 of memory I/O unit 652 for transmitting address, data, and command signals between flash memory chips 670, 672 and the memory I/O unit 652. (Col. 6, lines 59-64).

# Claims 4 and 5:

Estakhri teaches an error correction code logic unit (ECC logic unit) 660 having a port 662 coupled to a port 664 of the flash state machine, and a port 666 coupled to a

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port 668 of the data buffer 614. Estakhri also teaches ECC logic block 660 includes circuitry for performing error coding and correction on the sector-organized information (adding an error detection code to write data) and performs error detection and/or correction operations on the user data portions of each sector stored in the flash memory chips 670, 672 or data received from host 504 (error detection and correction for read-data). Estakhri further teaches, by way of illustration in Fig. 9, the timing of control, address, and data signals for a write operation performed by memory system 600 (FIG. 6) wherein two sectors of information are simultaneously written in the nonvolatile memory bank 506 (FIG. 6) during a single write operation. Estakhri discloses that immediately after time t10, during an interval between time t10 and a time t11, the first flash signal (wave form 902) transmits four packets of filler information (FFH, hexadecimal F, equivalent binary value "1111," decimal value "15") to the first flash memory chip via the first split bus 680 (FIG. 6) while the second flash signal (wave form 904) transmits error correction codes (ECC) to the second flash memory chip via the second split bus 684 (FIG. 6) (said ECC circuit conducts an input/output operation at an operation frequency equal to an input/output operation frequency of said parallel access operated non-volatile memories). (Col. 6, lines 46-49, col. 7, lines 41-46, col. 11, lines 7-12, col. 12, lines 36-43).

# Claims 6 and 7:

Estakhri teaches <u>Memory card 502</u> includes: a non-volatile memory bank 506 including a <u>plurality of non-volatile memory units 508</u> for storing sectors of information organized in blocks; a <u>memory controller 510</u> coupled to the memory bank via a

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memory bus 512, and coupled to the host 504 via a host bus 514. Estakhri also teaches the controller 510 (FIG. 6) accesses an even sector of information stored collectively in the first and second flash memory chips by simultaneously accessing first and second even sector fields 734, 742 (operate for parallel access) of corresponding row-portions of the first and second flash memory chips via the first and second split buses 680, 684 (FIG. 6), respectively. (Col. 6, lines 9-14, col. 8, lines 66, 67, col. 9, lines 1-5). Estakhri further teaches an error correction code logic unit (ECC logic unit) 660 having a port 662 coupled to a port 664 of the flash state machine, and a port 666 coupled to a port 668 of the data buffer 614. Estakhri also teaches ECC logic block 660 includes circuitry for performing error coding and correction on the sector-organized information (adding an error detection code to write data) and performs error detection and/or correction operations on the user data portions of each sector stored in the flash memory chips 670, 672 or data received from host 504 (error detection and correction for read-data). Estakhri further teaches, by way of illustration in Fig. 9, the timing of control, address, and data signals for a write operation performed by memory system 600 (FIG. 6) wherein two sectors of information are simultaneously written in the non-volatile memory bank 506 (FIG. 6) during a single write operation. Estakhri discloses that immediately after time t10, during an interval between time t10 and a time t11, the first flash signal (wave form 902) transmits four packets of filler information (FFH, hexadecimal F, equivalent binary value "1111," decimal value "15") to the first flash memory chip via the first split bus 680 (FIG. 6) while the second flash signal (wave form 904) transmits error correction codes (ECC) to the second flash memory chip via the

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second split bus 684 (FIG. 6) (said ECC circuit conducts an input/output operation at an operation frequency equal to an input/output operation frequency of said parallel access operated non-volatile memories). (Col. 6, lines 46-49, col. 7, lines 41-46, col. 11, lines 7-12, col. 12, lines 36-43).

# Claim 8 and 9:

Estakhri teaches Memory card 502 includes: a non-volatile memory bank 506 including a plurality of non-volatile memory units 508 for storing sectors of information organized in blocks; a memory controller 510 coupled to the memory bank via a memory bus 512, and coupled to the host 504 via a host bus 514. Estakhri also teaches controller 510 (control circuit) is shown to include: a host interface 610 connected to the host 504 via host bus 514 for transmitting address, data, and control signals (external access instruction) between the controller and the host; a memory input/output unit 652 (memory controller) having a port 654 coupled to a port 656 of the flash state machine. Estakhri further teaches memory bus 512 is used to transmit address, data, and control signals between the controller 510 and memory bank 506. (Col. 6, lines 9-14, 28-61). Estakhri even further teaches that sector-organized information (alternation control), including user data and overhead information, is received at host interface 610 from host 504 via host bus 514 and provided to the data buffer 614 for temporary storage. (Col. 7, lines 28-30). Estakhri discloses that the even and odd sector move flag locations 760, 762 store values indicating whether the corresponding even and odd sectors stored in the non-volatile memory sector location have been moved to another location within the non-volatile memory bank 506 (FIG. 6). (Col. 9, lines 53-67). Estakhri

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discloses "said memory controller allocates said first and second non-volatile memories to storage areas of even and odd data of sector data", per claim 9, in column 8, lines 26-55. Estakhri also discloses the controller 510 (FIG. 6) accesses an even sector of information stored collectively in the first and second flash memory chips by simultaneously accessing first and second even sector fields 734, 742 (operate for parallel access) of corresponding row-portions of the first and second flash memory chips via the first and second split buses 680, 684 (FIG. 6), respectively. (Col. 8, lines 66, 67, col. 9, lines 1-5). Estakhri also discloses controller 510 (FIG. 6) monitors the status of each block location 727 of the memory bank using block level flags including a used/free block flag and a defect block flag stored in a used flag location 754 and a defect flag location 756 respectively of the flag field 752. Estakhri further discloses the following steps in Fig. 12a to teach "said memory controller makes the storage area alternative in unit of the non-volatile memory in which an access error occurs in said alternative control": At step 1600, the block that was being unsuccessfully programmed is marked as "defective" by setting the "defect" flags 756 (in FIG. 7). At step 1602, the space manager within the controller is commanded to find a free block. At step 1604, the information that would have been programmed at steps 1234 and 1222 (in FIG. 12) i.e. the block marked "defective" is programmed into corresponding sector locations within the free block found in step 1602. At step 1606, the block marked "defective" is checked for the presence of any sector information that was previously written thereto successfully. If any such sectors exist, at step 1608, these previously-programmed

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sectors are moved to the free block, as is additional block information in the process of FIG. 12. (Col. 9, lines 18-32, col. 17, lines 64-67, col. 18, lines 1-10).

# Claims 10 and 11:

Estakhri teaches an error correction code logic unit (ECC logic unit) 660 having a port 662 coupled to a port 664 of the flash state machine, and a port 666 coupled to a port 668 of the data buffer 614. Estakhri also teaches ECC logic block 660 includes circuitry for performing error coding and correction on the sector-organized information (adding an error detection code to write data) and performs error detection and/or correction operations on the user data portions of each sector stored in the flash memory chips 670, 672 or data received from host 504 (error detection and correction for read-data). Estakhri further teaches, by way of illustration in Fig. 9, the timing of control, address, and data signals for a write operation performed by memory system 600 (FIG. 6) wherein two sectors of information are simultaneously written in the nonvolatile memory bank 506 (FIG. 6) during a single write operation. Estakhri discloses that immediately after time t10, during an interval between time t10 and a time t11, the first flash signal (wave form 902) transmits four packets of filler information (FFH, hexadecimal F, equivalent binary value "1111," decimal value "15") to the first flash memory chip via the first split bus 680 (FIG. 6) while the second flash signal (wave form 904) transmits error correction codes (ECC) to the second flash memory chip via the second split bus 684 (FIG. 6) (said ECC circuit conducts an input/output operation at an operation frequency equal to an input/output operation frequency of said parallel access

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operated non-volatile memories). (Col. 6, lines 46-49, col. 7, lines 41-46, col. 11, lines 7-12, col. 12, lines 36-43).

#### Claim 12:

Estakhri teaches memory system 10 including a controller 12, which is generally a semiconductor (or integrated circuit) device, coupled to a host 14 which may be a PC or a digital camera. (Col. 1, lines 46-49).

# Claim 13:

Estakhri teaches Memory card 502 includes: a non-volatile memory bank 506 including a plurality of non-volatile memory units 508 for storing sectors of information organized in blocks; a memory controller 510 (control circuit) coupled to the memory bank via a memory bus 512 (a bus), and coupled to the host 504 (external device) via a host bus 514. Estakhri also teaches controller 510 is shown to include: a host interface 610 (external interface) connected to the host 504 via host bus 514 for transmitting address, data, and control between the controller and the host. Estakhri further teaches memory bus 512 includes a flash bus 675 connected to a port 676 of memory I/O unit 652 for transmitting address, data, and command signals between flash memory chips 670, 672 and the memory I/O unit 652 (plurality of I/O terminals). Estakhri even further teaches flash bus 675 includes 16 bit lines, 8 bit lines of which form a first bus 680 connected to a port 682 of I/O register 671 of the first flash memory chip, and another 8 bit lines of which form a second bus 684 connected to a port 686 of I/O register 673 of the second flash memory chip (bus has a first bus width...). (Col. 6, lines 9-14, 28-67).

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The claim limitation "said control circuit performs access control..., and performs address alternating processing..." is rejected per claim 1 and 2 above.

## Claim 14:

Estakhri teaches Memory card 502 includes: a non-volatile memory bank 506 including a plurality of non-volatile memory units 508 for storing sectors of information organized in blocks; a memory controller 510 (control circuit) coupled to the memory bank via a memory bus 512 (a bus), and coupled to the host 504 (external device) via a host bus 514. Estakhri also teaches controller 510 is shown to include: a host interface 610 (external interface) connected to the host 504 via host bus 514 for transmitting address, data, and control between the controller and the host. Estakhri further teaches memory bus 512 includes a flash bus 675 connected to a port 676 of memory I/O unit 652 for transmitting address, data, and command signals between flash memory chips 670, 672 and the memory I/O unit 652 (plurality of I/O terminals). Estakhri even further teaches flash bus 675 includes 16 bit lines, 8 bit lines of which form a first bus 680 connected to a port 682 of I/O register 671 of the first flash memory chip, and another 8 bit lines of which form a second bus 684 connected to a port 686 of I/O register 673 of the second flash memory chip (bus has a first bus width...). (Col. 6, lines 9-14, 28-67). The claim limitation "said control circuit performs access control..." is rejected per claims 1 and 2 above. The claim limitations for "said error correction and detection circuit..." are rejected per claims 4 and 5 above.

# Claim 15:

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The claim limitations of "a control circuit; an input/output terminal with a first bit width, wherein error detection and correction..." are rejected per claim 14 above. The claim limitation of "said control circuit has an address alternating capability..." is rejected per claim 1 and 2 above.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

# a. <u>Estakhri et al. (US-6141249)</u>

Estakhri teaches a memory card 10 which includes a controller device 14 and a non-volatile memory unit 16 which is comprised of a plurality of non-volatile memories. Estakhri teaches a host 12 and a host bus 18, host interface circuit 22, flash interface circuit, ECC generator, reading/writing even and odd sector in flash device. (Claims 1-15).

# b. Conley et al. (US-6426893)

Conley teaches a non-volatile memory system which feature multiple sectors of user data stored at one time by alternating streaming chucks of data from the sectors to multiple memory blocks. Bytes of data in the stream may be shifted to avoid defective locations in the memory such as bad columns. Error correction codes may also be generated from the streaming data with a single generation circuit for the multiple sectors of data. (Claims 1-15).

# c. <u>Heiberger et al. (US-5341489)</u>

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Heiberger teaches a memory card connected to a host data processing system, a control circuit for interleaving and includes a plurality of flash EPROM memory devices. (Claims 1, 2, 8, 9, and 13-15).

# d. Shaberman et al. (US-5761732)

Shaberman teaches a memory card, host system, FLASH memories, and an interleaving scheme for storing even and odd data bytes in different sections of the memories according to first and second enable signals. (Claims 1, 2, 8, 9, and 13-15).

## e. Kakinuma et al. (US-5640349)

Kakinuma teaches flash memories (20, 21) are coupled with a host computer (1) through a flash memory controller (2) which has a pair of data buses (27, 28), and a pair of buffer memories (22, 23). Kakinuma teaches data buses (22, 23) are controlled to operate simultaneously so that said flash memories are accessed simultaneously in parallel. Kakinuma teaches an ECC process circuit 12 for error process. (Claims 1-15).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John J. Tabone, Jr. whose telephone number is (703) 305-8915. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert DeCady can be reached on (703) 305-9595. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

John J. Tabone, Jr. JJ J-Examiner

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